


12-13-01

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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.		H0003451
First Inventor		Sewa S. Sandhu
Title	Cable Assembly and Air Outflow....	
Express Mail Label No.	EL645042421US	

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

ADDRESS TO: Assistant Commissioner for  
Box Patent Application  
Washington, DC 20231

- |   |   |
|---|---|
| <p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g., PTO/SB/17)</b><br/><i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> <b>Applicant claims small entity status</b><br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <input ]<br="" type="text" value="22"/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> <b>Drawing(s) (35 U.S.C. 113)</b> [Total Sheets <input ]<="" p="" type="text" value="7"/> <p>5. <b>Oath or Declaration</b> [Total Pages <input ]<="" p="" type="text" value="2"/> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/><i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> <b>Application Data Sheet. See 37 CFR 1.76</b></p> </p></p> | <p>7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b></p> <p>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b><br/><i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b></p> <p>b. <b>Specification Sequence Listing on:</b></p> <p>i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b></p> <p>ii. <input type="checkbox"/> <b>paper</b></p> <p>c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b></p> |
|---|---|

<b>ACCOMPANYING APPLICATION PARTS</b>	
9	<input checked="" type="checkbox"/> <b>Assignment Papers (cover sheet &amp; document(s))</b>
10.	<input type="checkbox"/> <b>37 CFR 3.73(b) Statement</b> <input checked="" type="checkbox"/> <b>Power of Attorney</b> <i>(when there is an assignee)</i>
11	<input type="checkbox"/> <b>English Translation Document</b> <i>(if applicable)</i>
12.	<input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b> <input checked="" type="checkbox"/> <b>Copies of IDS Citations</b>
13.	<input type="checkbox"/> <b>Preliminary Amendment</b>
14.	<input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> <i>(Should be specifically itemized)</i>
15.	<input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> <i>(if foreign priority is claimed)</i>
16.	<input type="checkbox"/> <b>Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</b>
17.	<input checked="" type="checkbox"/> <b>Other: <u>Certificate of Mailing</u></b>

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

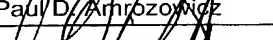
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_/\_\_\_\_\_

Prior application information	Examiner	Group Art Unit.
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For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000128  
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name					
Address					
City		State	Zip Code		
Country		Telephone	Fax		
Name (Print/Type)		Paul D. Amrozowicz		Registration No. (Attorney/Agent)	45,264
Signature				Date	12/11/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

jc832 U.S. PTO  
10/014135  
12/11/01

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT** (\$ ) 1,134.00**Complete if Known**

Application Number	
Filing Date	Decemner 11, 2001
First Named Inventor	Sewa S. Sandhu
Examiner Name	
Group Art Unit	
Attorney Docket No.	H0003451

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **17-0055**

Deposit Account Name **Quarles & Brady LLP**

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27

2. **Payment Enclosed:**

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

**SUBTOTAL (1)** (\$ ) 740.00**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
35	-20** = 15	18	270.00
4	-3** = 1	84	84.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ ) 354.00

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 40.00**SUBMITTED BY**

Name (Print/Type)	Paul D. Amrozowicz	Registration No (Attorney/Agent)	45,264	Telephone	602-230-5542
Signature		Date	12/11/01		

Complete (if applicable)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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11/11/01 11:11 AM

I hereby certify that this package is being deposited with the United States Postal Service on the date set forth below via Express Mail (#EL645042421US) addressed to: U.S. Patent and Trademark Office, Box Patent Applications, P.O. Box 2327, Arlington, VA 22202.

Date of Signature and Deposit: December 11, 2001

Suzie Shields

Suzie Shields



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PATENT  
H0003451

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sewa S. SANDHU et al.

Serial No.: 10/014,135

Filed: December 13, 2001

For: Cable Assembly And Air Outflow  
Valve Incorporating The Same

Group Art Unit: Unknown

Examiner: Unknown

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

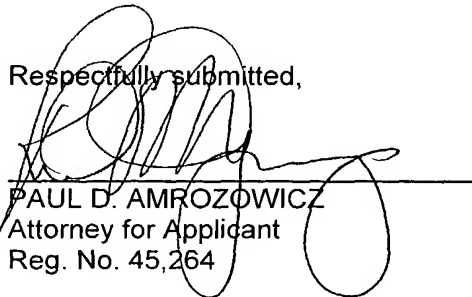
**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Dear Sir:

In timely response to the Notice to File Corrected Application Papers ("Notice") dated January 25, 2002, which provided two months in which to respond, Applicant encloses one copy each of: (1) formal drawings (8 sheets) and (2) a copy of the Notice. Applicant submits that the formal drawings meet the minimum requirements of 37 C.F.R. § 1.84, and respectfully requests that the drawings be entered in the application.

DATE: 3/25/02

Respectfully submitted,

  
PAUL D. AMROZOWICZ  
Attorney for Applicant  
Reg. No. 45,264

HONEYWELL INTERNATIONAL, INC.  
Law Dept. AB2  
P.O. Box 2245  
Morristown, New Jersey 07962  
Customer No.: 0003451